

tures from a parallel arrangement (*syn* for the *cis* and *anti* for the *trans*) and hence similar isotope effects.⁸

Acknowledgments. This work was supported by the National Science Foundation. We are indebted to Dr. V. J. Shiner, Jr., for informing us of his results prior to publication.

(8) No more than a qualitative judgment is possible, for the extent to which C-1 approaches sp²-hybridization in the transition state is unknown. Models suggest that the arrangement is more nearly parallel for the *trans* isomer, in agreement with the slightly larger isotope effect.

William H. Saunders, Jr., Kay T. Finley

Department of Chemistry, University of Rochester
Rochester, New York

Received December 12, 1964

3,4-Dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione. An Intermediate in the Microbiological Degradation of Ring A of Androst-4-ene-3,17-dione

Sir:

Previous studies have shown that one degradative pathway of androst-4-ene-3,17-dione (I) by microorganisms may be envisaged as follows^{1,2}: androst-4-ene-3,17-dione (I) → 9 α -hydroxyandrost-4-ene-3,17-dione (II) or androsta-1,4-diene-3,17-dione (III) → 3-hydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (IV) → 3 α -H-4 α -[3'-propionic acid]-7 α β -methylhexahydro-1,5-indandione (V) → CO₂ + H₂O.

As large-scale fermentations have failed to yield intermediates which gave an insight as to the mechanism of conversion of IV into V, an alternate approach to this problem was undertaken. It is well documented that before a benzene ring is opened by means of bacterial enzymes which degrade aromatic compounds, two hydroxyl groups must first be introduced into the nucleus.^{3,4} It has also been shown that, in some bacteria, the methyl group of *p*-cresol must be first oxidized to a carboxyl group before hydroxylation could take place on the aromatic ring.⁵ Since the rate-determining step in the over-all breakdown of aromatic compounds by bacteria is usually the hydroxylation step, it would seem desirable to prepare 3,19-dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (VI), 2,3-dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (VII), and 3,4-dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (VIII). The availability of these compounds would then allow us to evaluate which one, if any, of these compounds is the likely intermediate in the fission of the aromatic ring.

When 6,19-oxidoandrost-4-ene-3,17-dione⁶ was exposed to *Nocardia restrictus* (ATCC 14887), 9 α -hydroxy-6,19-oxidoandrost-4-ene-3,17-dione was obtained, m.p. 260–266° dec., [α]^{26D} +79°, $\lambda_{\text{max}}^{\text{alc}}$ 240 m μ (ϵ 11,900), $\lambda_{\text{max}}^{\text{Nulol}}$ 2.86, 5.78, 6.02, and 6.18 μ . When the epoxide was treated with zinc dust, 9 α ,19-dihydroxyandrost-4-ene-3,17-dione was obtained, m.p. 256.5–258.5°, [α]^{29D}

+166°, $\lambda_{\text{max}}^{\text{alc}}$ 245 m μ (ϵ 14,700), $\lambda_{\text{max}}^{\text{Nulol}}$ 2.86, 2.95, 5.79, 6.08, and 6.21 μ . The latter compound was aromatized by reaction with *N. restrictus* in the presence of phenazine methosulfate to give VI, m.p. 140–141°, [α]^{25D} +89°, $\lambda_{\text{max}}^{\text{alc}}$ 278 m μ (ϵ 2000), $\lambda_{\text{max}}^{\text{KBr}}$ 2.98, 5.78, 5.84, 6.20, 6.31, and 6.66 μ . However, VI was only metabolized at a rate equal to IV by *N. restrictus* as measured by their rate of disappearance.

The simplest procedure that we could visualize for the preparation of VII would appear to involve the introduction of a 9 α -hydroxyl group into 2-hydroxyandrosta-1,4-diene-3,17-dione; the resulting vinylog of a β -hydroxy ketone should then undergo reverse aldolization to yield VII.⁷ However, when 2,17 β -dihydroxyandrosta-1,4-dien-3-one⁸ was exposed to *N. restrictus*, the major product obtained was 2 ξ ,9 α -dihydroxyandrost-4-ene-3,17-dione, m.p. 240–243°, $\lambda_{\text{max}}^{\text{alc}}$ 243 m μ (ϵ 12,000), [α]^{35D} +9°, $\lambda_{\text{max}}^{\text{Nulol}}$ 2.90, 5.78, 6.05, and 6.20 μ . In an attempt to block the hydrogenase activity of this organism, 2-methoxy-17 β -hydroxyandrosta-1,4-dien-3-one⁹ (IX) was prepared with a view to obtaining 2-methoxy-3-hydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (X) via an analogous 9 α -hydroxylation procedure. When IX was exposed to *Nocardia corallina*¹⁰ (ATCC 13259), surprisingly a phenolic compound with all the characteristics of 2-methoxy-3,4-dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (XI) was obtained, m.p. 185–188°, [α]^{25D} +135°, $\lambda_{\text{max}}^{\text{alc}}$ 273 m μ (ϵ 900), $\lambda_{\text{max}}^{\text{KBr}}$ 2.95, 5.78, 5.85, 6.15, 6.25, and 6.65 μ ; n.m.r.¹¹ peaks τ 8.83 (3 H, one tertiary CH₃), 7.72 (3 H, one CH₃ on aromatic ring), 6.17 (3 H, one aromatic OCH₃), 4.62 and 3.58¹² (2 H, two phenolic OH), and 3.71 (1 H, one aromatic H). The catechol structure was further confirmed by its instability to base and a quinone was obtained after its oxidation by means of Ag₂O or mushroom tyrosinase. Thus, the synthesis of VII has lost its relevance to the problem since it appeared that VIII might be a more likely intermediate in the aromatic cleavage reaction.

Treatment of 9 α ,17 β -dihydroxyandrost-4-en-3-one¹³ (XII) with alkaline H₂O₂ resulted in the formation of 9 α ,17 β -dihydroxy-4,5-oxidoandrost-3-one (XIII), m.p. 197–198.5°, [α]^{26D} –66°, $\lambda_{\text{max}}^{\text{Nulol}}$ 2.78, 2.86, and 5.85 μ . The epoxide was opened by its reaction with a sulfuric-acetic acid mixture to yield 4,9 α ,17 β -trihydroxyandrost-4-en-3-one (XIV), m.p. 227–229°, [α]^{29D} +42°, $\lambda_{\text{max}}^{\text{alc}}$ 278 m μ (ϵ 12,700), $\lambda_{\text{max}}^{\text{Nulol}}$ 2.87, 6.03, and 6.14 μ . When XIV was incubated with frozen cells of *N. restrictus* in the presence of phenazine methosulfate, VIII was obtained, m.p. 164–165°, [α]^{28D} +149°, $\lambda_{\text{max}}^{\text{alc}}$ 282 m μ (ϵ 1950), $\lambda_{\text{max}}^{\text{CHCl}_3}$ 2.86, 3.00, 5.76, 5.92, 6.19, 6.26, and 6.69 μ .¹⁴

(7) C. J. Sih and A. M. Rahim, *J. Pharm. Sci.*, **52**, 1075 (1963).

(8) P. N. Rao and L. R. Axelrod, *J. Am. Chem. Soc.*, **82**, 2830 (1960).

(9) J. S. Baran, *ibid.*, **80**, 1687 (1958).

(10) Among the 9-hydroxylating organisms tested (*N. restrictus*, *Pseudomonas testosteronei*, *Bacterium cyclooxydans*, and *Mycobacterium rhodochrous*), this *Nocardia corallina* gave the best yield of the product.

(11) All n.m.r. spectra were determined on a Varian Associates recording spectrometer (A-60) at 60 Mc. in deuterated chloroform. Chemical shifts are reported in τ -values (p.p.m.) [G.V.D. Tiers, *J. Phys. Chem.*, **62**, 1151 (1958)].

(12) These two peaks disappeared after the addition of HCl.

(13) C. J. Sih, *J. Org. Chem.*, **26**, 4716 (1961).

(14) Satisfactory analyses have been obtained on all the new compounds cited herein. We thank Mr. Joseph Alicino, Metuchen, N. J., for the analyses.

(1) R. M. Dodson and R. D. Muir, *J. Am. Chem. Soc.*, **83**, 4627 (1961).

(2) C. J. Sih and K. C. Wang, *ibid.*, **85**, 2135 (1963).

(3) S. Dagley, P. J. Chapman, D. T. Gibson, and J. M. Wood, *Nature*, **202**, 775 (1964).

(4) O. Hayaishi, M. Katagiri, and S. Rothberg, *J. Biol. Chem.*, **229**, 905 (1957).

(5) S. Dagley and M. D. Patel, *Biochem. J.*, **66**, 227 (1957).

(6) H. Ueberwasser, K. Heusler, J. Kalvoda, Ch. Meystre, P. Wieland, G. Anner, and A. Wettstein, *Helv. Chim. Acta*, **46**, 344 (1963).

When VIII was exposed to cell-free extracts of *N. restrictus* it was very readily converted into V, m.p. 98–100°, identical (mixture melting point and infrared spectrum) with an authentic specimen² of 3 α -H-4 α -[3'-propionic acid]-7 α β -methylhexahydro-1,5-indandione. Since, under similar conditions, IV and VI were only slowly converted into V by these same extracts, VIII appears to be involved as an intermediate in the main pathway of this degradation. Similar conversions have been obtained with other microorganisms¹⁰ indicating that this is a general pathway of steroid degradation.¹⁵

(15) This investigation was supported in part by research grants from the National Institutes of Health (AM-4874 and AM-6110).

Charles J. Sih, S. S. Lee
Y. Y. Tsong, K. C. Wang

School of Pharmacy, University of Wisconsin
Madison 6, Wisconsin

Received January 18, 1965

On the Mechanism of Ring A Cleavage in the Degradation of 9,10-Seco Steroids by Microorganisms

Sir:

In our previous communication¹ we have shown that 3,4-dihydroxy-9,10-secoandrosta-1,3,5(10)-triene-9,17-dione (I) was readily transformed into 3 α -H-4 α -[3'-propionic acid]-7 α β -methylhexahydro-1,5-indandione (II) by cell-free extracts of *Nocardia restrictus* (ATCC 14887). We now wish to present evidence for the structure of other intermediates and to define the reaction sequence involved in the cleavage of the steroid A ring.

In a typical experiment, 300 mg. of I was incubated with cell-free extracts of *N. restrictus* in the presence of Fe²⁺. After acidification, the reaction mixture was extracted overnight with ether. The residue obtained from the ethereal extract was chromatographed over a silicic acid column to yield 62 mg. of II and 40 mg. of an oil (III) whose properties were consistent with 2-oxo-4-ethylbutyrolactone. Its ultraviolet spectrum in acid showed an absorption peak at 228 m μ which shifted to 262 m μ in base. Its infrared spectrum (KBr pellet) showed bands at 3.02, 5.75, 5.82, and 6.04 μ . Its n.m.r. spectrum² showed bands at τ 8.97 (3 H, triplet, $J = 8$ c.p.s., CH₃—CH₂), 8.22 and 8.37 (2 H, quartets, $J = 6.5$, 2 c.p.s., CH₃—CH₂—CH), 5.13 (1 H, sextet, $J = 7$, 2.5 c.p.s.; CH₂—CHOR—CH), 3.83 (1 H, doublet, $J = 2$ c.p.s. vinyl proton coupled with adjacent H), and 2.80 (1 H, enolic OH). The structure of III was conclusively established as 2-oxo-4-ethylbutyrolactone as follows: III was found to be identical (infrared spectrum, n.m.r. spectrum, and chromatographic behavior) with a sample of (\pm)-2-oxo-4-ethylbutyrolactone prepared by the published route from sodium diethylxaloacetate and propionaldehyde.³ A crystalline 2,4-dinitrophenylhydrazone of III was obtained, m.p. 168–171°, whose infrared and mass spectrum⁴ were also found to be identical with those of

(1) C. J. Sih, S. S. Lee, Y. Y. Tsong, and K. C. Wang, *J. Am. Chem. Soc.*, **87**, 1385 (1965).

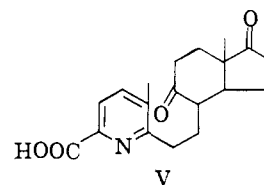
(2) All n.m.r. spectra were determined on a Varian Associates recording spectrometer (A-60) at 60 Mc. in either deuterated chloroform or carbon tetrachloride. Chemical shifts are reported in τ -values (p.p.m.) [G. V. D. Tiers, *J. Phys. Chem.*, **62**, 1151 (1958)].

(3) A. Rossi and H. Schinz, *Helv. Chim. Acta*, **31**, 473 (1948).

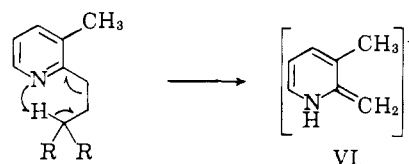
a synthetic sample. However, the actual product of the enzymatic reaction is probably 2-oxo-4-hydroxy-caproic acid (IV) rather than III, for III was not further metabolized by the cell-free extract. Also, III and IV have been shown to be readily interconvertible, depending on the hydrogen ion concentration of the surrounding medium.

When the cell-free extract was treated with Sephadex G-25, a preparation was obtained which also readily metabolized I. For each mole of I present, 1 mole of O₂ was consumed and no CO₂ was evolved. During the reaction a yellow compound accumulated (λ_{max} 392 m μ (pH 13) and 315 m μ (pH 1.0)) which was slowly converted to II. This type of ultraviolet spectrum is reminiscent of those given by α -hydroxy-muconic semialdehyde, α -hydroxy- γ -carboxymuconic semialdehyde, and 2,6-diketonon-4-enedioic acid.^{5,6} Because of the extreme instability of this yellow intermediate, it was treated directly with NH₄OH to yield an amorphous pyridine compound⁷ (V), m.p. 94–97°, $\lambda_{\text{max}}^{10}$ 273 m μ (ϵ 4700), $\lambda_{\text{max}}^{\text{KBr}}$ 2.97, 5.78, and 5.86 μ ; n.m.r. peaks at τ 8.82 (3 H, one tertiary CH₃), 7.52 (3 H, one CH₃ on pyridine ring), and 2.74 and 2.16 (2 H, aromatic protons).

Strong evidence for the assigned structure V was found in its mass spectrum. While there was no parent



ion at m/e 329, there was a prominent ($M - \text{CO}_2$) peak at m/e 285.⁸ The base peak in the spectrum, m/e 107, is assigned to structure VI. The virtual absence of a



peak at m/e 106 eliminates from consideration pyridines having the hydrindanedione substituent at C-3, C-4, or C-5,⁹ since these should give rise to a prominent fragment VII.^{10,11} Other prominent peaks at m/e 120 (VIII) and 55 (IX) also lend support to structure V.

(4) The mass spectra of all samples were taken on a CEC-103 C mass spectrometer operating at 70-v. ionization voltage and employing a heated glass inlet system at 250°.

(5) S. Dagley, W. C. Evans, and D. W. Ribbons, *Nature*, **188**, 560 (1960).

(6) S. Dagley, P. J. Chapman, and D. T. Gibson, *Biochim. Biophys. Acta*, **78**, 781 (1963).

(7) The ring fission product of protocatechuic acid by the action of a 4,5-oxygenase was identified as α -hydroxy- γ -carboxymuconic semialdehyde. The latter compound was characterized by reaction with ammonia to yield 2,4-lutidinic acid (see ref. 5). Similarly, the ring fission product of β -phenylpropionate was characterized by an analogous reaction (see ref. 6).

(8) Decarboxylation of V probably occurred in the inlet system (250°).

(9) These would arise if oxidation of I occurred between C-2 and C-3.

(10) K. Biemann, "Mass Spectrometry, Organic Chemical Applications," McGraw-Hill Book Co., Inc., New York, N. Y., 1962, pp. 132–135.

(11) H. Budzikiewicz, C. Djerassi, and D. H. Williams, "Interpretation of Mass Spectra of Organic Compounds," Holden-Day, Inc., San Francisco, Calif., 1964, pp. 253–256.